

# DECLARATION OF SPONSORS OR DIRECTORS FOR BUYING AND SELLING OF SECURITIES

[Under regulation 34 (1)]

1. The Chairman  
Bangladesh Securities and Exchange Commission  
Dhaka.
2. The Chief Regulatory Officer  
Dhaka Stock Exchange Limited  
Dhaka.

**Subject: Declaration of sponsors or directors for buying and selling of securities.**

Sir,

Pursuant to the regulation 34 (1) of the Dhaka Stock Exchange (Listing) Regulations, 2015, I/we hereby report that I/we intend to buy/sell or otherwise dispose of/receipt of some securities of the following company/mutual fund/Collective Investment Scheme (CIS), of which I/we am/are a sponsor(s)/director(s) since ....., within next 30 (thirty) working days from the date of receipt of this report by the Bangladesh Securities and Exchange Commission and the Exchange, the full particulars of which are given below:

1. Name of the issuer/mutual fund/CIS:
2. Name and full address of the sponsor/director:
3. Beneficial Owner's (BO) account number:
4. Quantity of securities held in the issuer/mutual fund/CIS:
5. Quantity of securities to buy/sell/otherwise dispose of/receipt of:
6. Taxpayer's Identification Number (TIN) (if any) of the sponsor/director:
7. Name of the designated stock-broker and the stock exchange:
8. Type of market: Public/Block/Others (specify)
9. Details of acquisition/disposal planned for other than through stock exchange (if applicable):

## DECLARATION

I/we hereby declare that I/we have already placed the necessary fund/concerned securities, along with the relevant irrevocable buy/sale/dispose of/receipt of order with my/our above named stock-broker (document enclosed) for execution at prevailing market price, and that I/we shall submit details of the proposed buy/sell/transfer of the said securities to the Bangladesh Securities and Exchange Commission and the stock exchange within one week of execution of the said buy/sale or transfer.

\_\_\_\_\_  
Place and date

\_\_\_\_\_  
Signature of the sponsor/director

Signature verified by: \_\_\_\_\_

(Signature with name and designation, date and seal of the authorized officer of the concerned issuer/Asset Manager of the concerned Mutual Fund/CIS)

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## **Documents to be enclosed:**

1. Updated BO-ISIN holding report (DPA6), in case of sale; copy of money receipt/portfolio ledger balance/sufficient balance certificate from the stock-broker, in case of buy;
2. Acquisition cost declaration format for tax purposes;
3. In case of sale of entire holdings, extract of Board Resolution;
4. If the sponsor/director is a corporate body, extract of Board Resolution of that institution;
5. A certificate from the respective issuer regarding status of securities holder, number and % of total holding
6. Any other documents required by the Exchange.