

APPLICATION FOR TRANSFER OF LISTED SECURITIES BY WAY OF GIFT AMONG THE FAMILY MEMBERS, i.e., SPOUSE, SON, DAUGHTER, FATHER, MOTHER, BROTHER AND SISTER

[Under Regulation 47 (1) (a) & 47 (2)]

The Chief Regulatory Officer
Dhaka Stock Exchange Limited
Dhaka.

Subject: Application for transfer of listed securities by way of gift among the family members i.e., spouse, son, daughter, father, mother, brother and sister.

Sir,

Kindly accord approval to my intention to gift the following securities to the beneficiary named hereunder:

1. Name of the Issuer/Mutual Fund (MF)/ Collective Investment Scheme (CIS) of which the security is to be gifted/transferred:
2. Number of proposed securities to be transferred:
3. Name of the transferor:
Address :

Contact no. :
E-mail (if any) :
Investor Category: Sponsor / Director / Placement holder / General
Total number of securities of the Issuer/MF/CIS held by the transferor:
e-TIN (if any) :
4. Name of the Transferee/Beneficiary:
Address :

Contact no. :
E-mail (if any) :
BOID :
Investor Category: Sponsor / Director / Placement holder / General
Total number of securities of the Issuer/MF/CIS held by the transferee/beneficiary:
e-TIN (if any) :
5. Relationship between the transferor and the transferee/beneficiary:

Affidavit

The undersigned transferor and signature verifying authority hereby confirm the genuineness of relationship declared herein above, and also undertake to be held responsible for the consequences, if any, as a result of any incorrect or misleading statement or concealment contained in this application.

Date

Signature of the Transferor
BOID No.:

Signature verified by:

(Signature with name, date & seal with designation of the authorized officer of the Issuer/Asset Manager /Depository Participant)

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Approved (subject to signature verification and authentication of relationship of the applicant by the concerned issuer of listed securities/depository participant/asset manager) by the Dhaka Stock Exchange Ltd.

Time allowed for execution: Working Days

Service charge: Tk.....

Advance Income Tax (AIT) (if applicable): Tk.

Documents to be enclosed:

1. National ID Card/Passport of both transferor and transferee(s) attested by the signature verifier;
2. Updated BO-ISIN holding report (DPA6) of both transferor and transferee(s);
3. Shareholding/unit holding position of both transferor and transferee(s) (if transferor/transferee(s) are sponsor/director) issued by the issuer/asset manager;
4. If the transferor is a sponsor/director, acquisition cost declaration format for tax purposes;
5. In case of transfer of entire holding of shares by sponsor/director, extract of Board Resolution;
6. Any other documents required by the Exchange.